**AMENDMENTS TO THE CLAIMS** 

1-107. (Canceled)

108. (Currently amended) A method for determining whether a first trait T<sub>1</sub> is causal

for, reactive to, or independent of a second trait T2 in a plurality of organisms of a species, the

method comprising:

(A) identifying one or more loci in the genome of said species, wherein each

locus Q of said one or more loci is a site of colocalization for (i) a respective quantitative trait

locus (QTL<sub>1</sub>) that is genetically linked to a variation in the first trait T<sub>1</sub> across the plurality of

organisms and (ii) a respective quantitative trait locus (QTL2) that is genetically linked to a

variation in the second trait T<sub>2</sub> across said plurality of organisms; and

(B) determining whether said first trait T<sub>1</sub>, is causal for, reactive to, or

independent of, said second trait  $T_2$  comprising testing, for each respective locus Q of said one

or more loci identified in step (A), whether (i) a genetic variation  $Q^*$  of said respective locus Q

across said plurality of organisms and (ii) said variation in said second trait T2 across said

plurality of organisms are correlated conditional on said variation in said first trait T<sub>1</sub> across said

plurality of organisms,

wherein, when the genetic variation of (i) one or more loci Q tested in (B), and

(ii) said variation in said second trait T2 across said plurality of organisms are correlated

conditional on said variation in said first trait T<sub>1</sub> across said plurality of organisms, said first

trait T<sub>1</sub> is determined to be causal for, and not reactive or independent of, said second trait T<sub>2</sub>,

wherein step (B) is performed by a suitably programmed computer.

109. (Previously presented) The method of claim 108, the method further comprising,

prior to said identifying, a step of determining a respective  $QTL_1$  at a locus Q of said one or

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more loci using a first quantitative trait locus (QTL) analysis, wherein said first QTL analysis uses a plurality of quantitative measurements of said first trait, and wherein each quantitative measurement in said plurality of quantitative measurements of said first trait is obtained from an organism in said plurality of organisms.

110. (Previously presented) The method of claim 109, the method further comprising a step of determining a respective QTL<sub>2</sub> at said locus Q using a second QTL analysis, wherein said second QTL analysis uses a plurality of quantitative measurements of said second trait, and

wherein each quantitative measurement in said plurality of quantitative measurements of said

second trait is obtained from an organism in said plurality of organisms.

111. (Original) The method of claim 108, wherein said respective QTL<sub>1</sub> and said

respective QTL2 are deemed to be colocalized at a locus Q of said one or more loci when said

respective QTL<sub>1</sub> and said respective QTL<sub>2</sub> are within 3 cM of the locus Q.

112. (Original) The method of claim 108, wherein said respective QTL<sub>1</sub> and said

respective QTL2 are deemed to be colocalized at a locus Q of said one or more loci when said

respective  $QTL_1$  and said respective  $QTL_2$  are within 1 cM of the locus Q.

113. (Original) The method of claim 108 wherein said plurality of organisms is

derived from a predetermined set of individuals.

114. (Original) The method of claim 108 wherein said plurality of organisms is derived

from a predetermined set of strains.

115. (Original) The method of claim 114 wherein said set of strains is between 2

strains and 100 strains.

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116. (Original) The method of claim 114 wherein said set of strains is between

5 strains and 500 strains.

117. (Original) The method of claim 114 wherein said set of strains is more than five

strains.

118. (Original) The method of claim 114 wherein said set of strains is less than

1000 strains.

119. (Currently amended) The method of claim 114 wherein said set of strains is

diverse with respect to a complex phenotype associated having a correlated occurrence across a

population with a human disease.

120. (Currently amended) The method of claim 114 wherein said set of strains is

between 2 strains and 10 strains that, collectively, are diverse with respect to a complex

phenotype associated having a correlated occurrence across a population with a human disease.

121. (Original) The method of claim 120 wherein said human disease is obesity,

diabetes, atherosclerosis, metabolic syndrome, depression, anxiety, osteoporosis, bone

development, asthma, or chronic obstructive pulmonary disease.

122. (Original) The method of claim 108 wherein said plurality of organisms is

derived from crossing a predetermined set of strains.

123. (Original) The method of claim 122 wherein said plurality of organisms is an F<sub>2</sub>

intercross, a backcross, or an F<sub>2</sub> random mating.

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124. (Original) The method of claim 108 wherein the plurality of organisms is more

than 1,000 organisms.

125. (Original) The method of claim 108 wherein the plurality of organism is between

100 organisms and 100,000 organisms.

126. (Original) The method of claim 108 wherein the plurality of organisms is less

than 500,000 organisms.

127. (Original) The method of claim 108 wherein the plurality of organisms is

between 5,000 and 25,000 organisms.

128. (Original) The method of claim 109, wherein

said first trait is abundance levels of a first cellular constituent and each quantitative

measurement of said first trait is an abundance level of said first cellular constituent in an

organism in said plurality of organisms; and

said second trait is abundance levels of a second cellular constituent and each quantitative

measurement of said second trait is an abundance level of said second cellular constituent in an

organism in said plurality of organisms.

129. (Previously presented) The method of claim 128 wherein each said abundance

level of said first cellular constituent is normalized and each said abundance level of said second

cellular constituent is normalized.

130. (Original) The method of claim 128 wherein

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1420 Fifth Avenue Suite 2800 each said abundance level of said first cellular constituent is determined by measuring an

amount of said first cellular constituent in one or more cells from an organism in said plurality of

organisms; and

each said abundance level of said second cellular constituent is determined by measuring

an amount of said second cellular constituent in one or more cells from an organism in said

plurality of organisms.

131. (Original) The method of claim 128, wherein

each said amount of said first cellular constituent comprises an abundance of a first RNA

in said one or more cells of said organism in said plurality of organisms; and

each said amount of said second cellular constituent comprises an abundance of a second

RNA in said one or more cells of said organism in said plurality of organisms.

132. (Previously presented) The method of claim 131, wherein

said abundance of said first RNA is measured by contacting a gene transcript array with

said first RNA from said one or more cells of said organism, or with nucleic acid derived from

said first RNA, wherein said gene transcript array comprises a positionally addressable surface

with attached nucleic acids or nucleic acid mimics, wherein said nucleic acids or nucleic acid

mimics are capable of hybridizing with said first RNA, or with nucleic acid derived from said

first RNA; and

said abundance of said second RNA is measured by contacting a gene transcript array

with said second RNA from said one or more cells of said organism, or with nucleic acid derived

from said second RNA, wherein said gene transcript array comprises a positionally addressable

surface with attached nucleic acids or nucleic acid mimics, wherein said nucleic acids or nucleic

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acid mimics are capable of hybridizing with said second RNA, or with nucleic acid derived from said second RNA.

133. (Original) The method of claim 109, wherein said first QTL analysis comprises:

(i) testing for linkage between (a) the genotype of said plurality of organisms at a position in the genome of said species and (b) said plurality of quantitative measurements of said first trait:

(ii) advancing the position in said genome by an amount; and

(iii) repeating steps (i) and (ii) until all or a portion of the genome of said species has been tested.

134. (Original) The method of claim 110, wherein said second QTL analysis comprises:

(i) testing for linkage between (a) the genotype of said plurality of organisms at a position in the genome of said species and (b) said plurality of quantitative measurements of said second trait;

(ii) advancing the position in said genome by an amount; and

(iii) repeating steps (i) and (ii) until all or a portion of the genome of said species has been tested.

135-136. (Canceled)

137. (Original) The method of claim 133 or 134, wherein said testing comprises performing linkage analysis or association analysis.

138. (Original) The method of claim 137, wherein said linkage analysis or association analysis generates a statistical score for said position in the genome of said species.

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139. (Original) The method of claim 138, wherein said testing is linkage analysis and said statistical score is a logarithm of the odds (lod) score.

140-141. (Canceled)

142. (Original) The method of claim 109, wherein said respective QTL<sub>1</sub> is represented by a lod score that is greater than 4.0.

143. (Original) The method of claim 110, wherein said respective QTL<sub>2</sub> is represented

by a lod score that is greater than 4.0.

144. (Original) The method of claim 109 wherein each quantitative measurement in

said plurality of quantitative measurements of said first trait is

an amount or a concentration of a first cellular constituent in one or more tissues of an

organism in said plurality of organisms.

a cellular constituent activity level of said first cellular constituent in one or more tissues

of an organism in said plurality of organisms, or

a state of cellular constituent modification of said first cellular constituent in one or more

tissues of an organism in said plurality of organisms.

145. (Original) The method of claim 110 wherein each quantitative measurement in

said plurality of quantitative measurements of said second trait is

an amount or a concentration of a second cellular constituent in one or more tissues of an

organism in said plurality of organisms,

a cellular constituent activity level of said second cellular constituent in one or more

tissues of an organism in said plurality of organisms, or

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more tissues of an organism in said plurality of organisms.

146. (Original) The method of claim 108, wherein said plurality of organisms is

human.

147. (Original) The method of claim 109, wherein said respective QTL<sub>1</sub> and said

respective QTL2 are deemed to colocalize at a locus Q of said one or more loci when said

respective QTL<sub>1</sub> and said respective QTL<sub>2</sub> are within 40 cM of the locus O.

148. (Original) The method of claim 109, wherein said respective QTL<sub>1</sub> and said

respective QTL2 are deemed to colocalize at a locus Q of said one or more loci when said

respective QTL<sub>1</sub> and said respective QTL<sub>2</sub> are within 10 cM of the locus Q.

149. (Original) The method of claim 108 wherein said one or more loci consist of at

least two loci.

150. (Original) The method of claim 108, wherein said respective QTL<sub>1</sub> and said

respective QTL<sub>2</sub> colocalize at a locus Q of said one or more loci when said respective QTL<sub>1</sub> and

said respective QTL2 satisfy a pleiotropy test and wherein failure of the pleiotropy test indicates

that (i) the respective QTL<sub>1</sub> and the respective QTL<sub>2</sub> are two closely linked QTL, (ii) step (B) is

not performed, and (iii) said first trait T<sub>1</sub> is not determined to be causal for said second trait T<sub>2</sub>.

151. (Original) The method of claim 150 wherein said pleiotropy test comprises

comparing a model for a null hypothesis, indicating that said respective QTL<sub>1</sub> and said

respective QTL2 colocalize as a QTL, to a model for an alternative hypothesis, indicating that

said  $QTL_1$  and said respective  $QTL_2$  are two closely linked QTL.

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152. (Currently amended) The method of claim 151 wherein said model for said null hypothesis is:

$$\frac{ \left( \gamma_{1} \right) }{ \left( \gamma_{2} \right) } \circ \left( \frac{\mu_{1}}{\mu_{2}} \right) \circ \left( \frac{\beta_{1}}{\beta_{2}} \right) N + \circ \left( \frac{\varepsilon_{1}}{\varepsilon_{2}} \right)$$

$$\begin{pmatrix} \gamma_1 \\ \gamma_2 \end{pmatrix} = \begin{pmatrix} \mu_1 \\ \mu_2 \end{pmatrix} = \begin{pmatrix} \beta_1 \\ \beta_2 \end{pmatrix} N + \begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \end{pmatrix}$$

wherein

N is a categorical random variable indicating the genotype at locus Q across said plurality of organisms;

 $\begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \end{pmatrix}$  is distributed as a bivariate normal random variable with mean  $\begin{pmatrix} 0 \\ 0 \end{pmatrix}$  and covariance matrix  $\begin{pmatrix} \sigma_1^2 & \sigma_1 \sigma_2 \\ \sigma_2 \sigma_1 & \sigma_2^2 \end{pmatrix}$ ; and

 $\mu_i$  and  $\beta_i$  are model parameters.

153. (Original) The method of claim 151 wherein said model for said alternative hypothesis is:

$$\begin{pmatrix} \gamma_1 \\ \gamma_2 \end{pmatrix} = \begin{pmatrix} \mu_1 \\ \mu_2 \end{pmatrix} = \begin{pmatrix} \beta_1 & \beta_2 \\ \beta_3 & \beta_4 \end{pmatrix} \begin{pmatrix} N_1 \\ N_2 \end{pmatrix} + \begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \end{pmatrix}$$

wherein

 $N_1$  and  $N_2$  are categorical random variables indicating the genotype at locus Q across said plurality of organisms;

 $egin{pmatrix} \mathcal{E}_1 \\ \mathcal{E}_2 \end{pmatrix}$  is distributed as a bivariate normal random variable with mean  $\begin{pmatrix} 0 \\ 0 \end{pmatrix}$  and covariance matrix  $\begin{pmatrix} \sigma_1^2 & \sigma_1 \sigma_2 \\ \sigma_2 \sigma_1 & \sigma_2^2 \end{pmatrix}$ ; and

 $\mu_i$  and  $\beta_i$  are model parameters.

154. (Previously presented) The method of claim 152 wherein said model for said alternative hypothesis is:

$$\begin{pmatrix} \gamma_1 \\ \gamma_2 \end{pmatrix} = \begin{pmatrix} \mu_1 \\ \mu_2 \end{pmatrix} + \begin{pmatrix} \beta_1 & \beta_2 \\ \beta_3 & \beta_4 \end{pmatrix} \begin{pmatrix} N_1 \\ N_2 \end{pmatrix} + \begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \end{pmatrix}$$

wherein

 $Q_1$  and  $Q_2$  are categorical random variables indicating the genotype at locus Q across said plurality of organisms;

 $\begin{pmatrix} \mathcal{E}_1 \\ \mathcal{E}_2 \end{pmatrix} \text{ is distributed as a bivariate normal random variable with mean } \begin{pmatrix} 0 \\ 0 \end{pmatrix} \text{ and }$  covariance matrix  $\begin{pmatrix} \sigma_1^2 & \sigma_1 \sigma_2 \\ \sigma_2 \sigma_1 & \sigma_2^2 \end{pmatrix};$ 

 $\mu_i$  and  $\beta_i$  are model parameters; and one of the conditions (i) through (iv) is valid:

(i) 
$$\beta_1 \neq 0$$
,  $\beta_4 \neq 0$ ,  $\beta_2 = 0$ , and  $\beta_3 = 0$ ;

(ii) 
$$\beta_1 \neq 0, \beta_4 \neq 0, \beta_2 \neq 0, \text{ and } \beta_3 = 0;$$

(iii) 
$$\beta_1 \neq 0, \beta_4 \neq 0, \beta_2 = 0, \text{ and } \beta_3 \neq 0; \text{ and}$$

(iv) 
$$\beta_1 \neq 0, \beta_4 \neq 0, \beta_2 \neq 0, \text{ and } \beta_3 \neq 0.$$

## 155. (Original) The method of claim 151 wherein said comparing comprises:

obtaining a first maximum likelihood estimate for the model for the null hypothesis by maximizing the loglikelihood for the model for the null hypothesis with respect to model parameters;

obtaining a second maximum likelihood estimate for the model for the alternative hypothesis by maximizing the loglikelihood for the model for the alternative hypothesis with respect to model parameters; and

forming a likelihood ratio test statistic between the first maximum likelihood estimate and said second maximum likelihood estimate to determine whether the model for the alternative hypothesis provides for a statistically significant better fit to the data than the model for the null hypothesis.

156. (Previously presented) The method of claim 108 wherein said testing comprises considering a null test for causality having the relationship:

$$P(T_2, Q^*|T_1) = P(T_2|G)P(Q^*|T_1),$$

wherein

each function P is a probability density function;

T<sub>2</sub> is the variation of the second trait across said plurality of organisms;

 $Q^*$  is a genotype random variable for a locus Q of said one or more loci across said plurality of organisms; and

T<sub>1</sub> is the variation of the first trait across said plurality of organisms.

157. (Original) The method of claim 156 wherein said testing comprises comparing said null test for causality, indicating that said first trait  $T_1$  is causal for said second trait  $T_2$ , to an alternative hypothesis, indicating that  $T_2$  and Q are dependent given  $T_1$ .

158. (Original) The method of claim 157 wherein said testing comprises optimizing the log likelihood ratio of said null hypothesis and said alternative hypothesis using maximum likelihood analysis.

159. (Currently amended) A computer program product for use in conjunction with a computer system, the computer program product comprising a computer readable storage

LAW OFFICES OF CHRISTENSEN O'CONNOR JOHNSON KINDNESS\*\*\* 1420 Fifth Avenue Suite 2800 Scattle, Washington 98101 206.682.8100 medium and a computer program mechanism embedded therein, the computer program mechanism comprising:

a  $T_1/T_2$  overlap module that comprises instructions for identifying one or more loci in the genome of a species, wherein each locus Q of said one or more loci is a site of colocalization for (i) a respective quantitative trait locus (QTL<sub>1</sub>) that is genetically linked to a variation in a first trait  $T_1$  across a plurality of organisms in said species and (ii) a respective quantitative trait locus (QTL<sub>2</sub>) that is genetically linked to a variation in a second trait  $T_2$  across said plurality of

organisms; and

a causality test module, for determining whether said first trait  $T_1$  is causal for reactive to, or independent of said second trait  $T_2$  that comprises instructions for testing, for one or more locus Q of said one or more loci, whether (i) a genotype random variable  $Q^*$  of the respective locus Q across the plurality of organisms and (ii) said variation in the second trait  $T_2$  across the plurality of organisms are correlated conditional on the variation in said first trait  $T_1$  across the plurality of organisms.

160. (Currently amended) A computer system comprising:

a central processing unit;

a memory, coupled to the central processing unit, the memory storing an  $Q_1/Q_2$  overlap module and a causality test module; wherein

the  $T_1/T_2$  overlap module comprises instructions for identifying one or more loci in the genome of a species, wherein each locus Q of said one or more loci is a site of colocalization for (i) a respective quantitative trait locus (QTL<sub>1</sub>) that is genetically linked to a variation in the first trait  $T_1$  across a plurality of organisms of said species and (ii) a respective quantitative trait locus (QTL<sub>2</sub>) that is genetically linked to a variation in the second trait  $T_2$  across said plurality of organisms; and

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a causality test module for determining whether said first trait  $T_1$  is causal for reactive to, or independent of, said second trait  $T_2$  that comprises instructions for testing, for one or more loci Q in the at least one locus, whether (i) a genotype random variable  $Q_*$  for the respective locus Q across the plurality of organisms and (ii) said variation in said second trait  $T_2$  across said plurality of organisms are correlated conditional on the variation in the first trait  $T_1$  across said plurality of organisms.

161-210. (Canceled)

211. (Currently amended) A method for determining whether a first trait  $T_1$  is causal for reactive to, or independent of a second trait  $T_2$  in a plurality of organisms of a species, the

method comprising:

(A) identifying a locus Q in the genome of said species that is a site of

colocalization for (i) a quantitative trait locus (QTL<sub>1</sub>) that is genetically linked to a variation in

the first trait T<sub>1</sub> across all or a portion of the plurality of organisms and (ii) a quantitative trait

locus (QTL<sub>2</sub>) that is genetically linked to a variation in the second trait T<sub>2</sub> across all or a portion

of said plurality of organisms;

(B) quantifying a first coefficient of determination between (i) a genetic

variation  $Q^*$  of said locus Q across all or a portion of said plurality of organisms and (ii) said

variation in said first trait T<sub>1</sub> across all or a portion of said plurality of organisms; and

(C) quantifying a second coefficient of determination between (i) said genetic

variation  $Q^*$  of said locus Q across all or a portion of said plurality of organisms

and (ii) said variation in said first trait T<sub>1</sub> across all or a portion of said plurality of organsims

organisms, after conditioning on said variation in said second trait T2 across all or a portion of

said plurality of organisms, wherein

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said first trait  $T_1$  is deemed to be causal for, and not reactive to, or independent of, said second trait  $T_2$  when said first coefficient of determination is other than zero and said second coefficient of determination cannot be distinguished from zero, wherein at least one of steps (A) or (B) is performed by a suitably programmed computer.

212. (Previously presented) The method of claim 211 wherein said first trait  $T_1$  is deemed to be causal for said second trait  $T_2$  when said first coefficient of determination is greater than a predetermined threshold amount.

213. (Original) The method of claim 212 wherein said predetermined threshold amount is 0.03.

214. (Original) The method of claim 212 wherein said predetermined threshold amount is 0.10.

215. (Original) The method of claim 211, wherein said  $QTL_1$  and said  $QTL_2$  are deemed to colocalize at said locus Q when said  $QTL_1$  and said  $QTL_2$  are within 3 cM of the locus Q.

216. (Original) The method of claim 211, wherein said  $QTL_1$  and said  $QTL_2$  are deemed to colocalize at said locus Q when said  $QTL_1$  and said  $QTL_2$  are within 1 cM of the locus Q.

217. (Original) The method of claim 211 wherein the plurality of organisms is between 100 organisms and 100,000 organisms.

218. (Original) The method of claim 211 wherein the plurality of organisms is less than 500,000 organisms.

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219. (Original) The method of claim 211 wherein the plurality of organisms is between 5,000 and 25,000 organisms.

between 5,000 and 25,000 organisms.

220. (Original) The method of claim 211 wherein said plurality of organisms is

human.

221. (Original) The method of claim 211, wherein said first trait T<sub>1</sub> is a complex trait.

222. (Original) The method of claim 221, wherein said complex trait is characterized

by an allele that exhibits incomplete penetrance in said species.

223. (Previously presented) The method of claim 221, wherein said complex trait is a

disease that is contracted by at least one organism in said plurality of organisms, and wherein

said organism inherits no predisposing allele to said disease.

224. (Original) The method of claim 221, wherein said complex trait arises when one

or more of a plurality of different genes in the genome of said species is mutated.

225. (Original) The method of claim 221, wherein said complex trait requires the

simultaneous presence of mutations in a plurality of genes in the genome of said species.

226. (Original) The method of claim 221, wherein said complex trait is a phenotype

that does not exhibit Mendelian recessive or dominant inheritance attributable to a single gene

locus.

227. (Original) The method of claim 221 wherein said complex trait is asthma, ataxia

telangiectasia, bipolar disorder, cancer, common late-onset Alzheimer's disease, diabetes, heart

disease, hereditary early-onset Alzheimer's disease, hereditary nonpolyposis colon cancer,

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hypertension, infection, maturity-onset diabetes of the young, mellitus, migraine, nonalcoholic fatty liver, nonalcoholic steatohepatitis, non-insulin-dependent diabetes mellitus, obesity, polycystic kidney disease, psoriases, schizophrenia, or xeroderma pigmentosum.

228. (Original) The method of claim 211 wherein said QTL<sub>1</sub> and said QTL<sub>2</sub> are deemed to colocalize at a locus Q of said one or more loci when said QTL<sub>1</sub> and said QTL<sub>2</sub> are within 40 cM of the locus Q.

229. (Original) The method of claim 211 wherein said  $QTL_1$  and said  $QTL_2$  are deemed to colocalize at a locus Q of said one or more loci when said  $QTL_1$  and said  $QTL_2$  are within 10 cM of the locus Q.

230. (Original) The method of claim 211 wherein said  $QTL_1$  and said  $QTL_2$  are deemed to colocalize at said locus Q when said  $QTL_1$  and said  $QTL_2$  satisfy a pleiotropy test and wherein failure of the pleiotropy test indicates that the  $QTL_1$  and the  $QTL_2$  are two closely linked QTL and said first trait  $T_1$  is not determined to be causal for said second trait  $T_2$ .

231. (Original) The method of claim 230 wherein said pleiotropy test comprises comparing a model for a null hypothesis, indicating that said QTL<sub>1</sub> and said QTL<sub>2</sub> colocalize as a QTL, to a model for an alternative hypothesis, indicating that said QTL<sub>1</sub> and said QTL<sub>2</sub> are two closely linked QTL.

232. (Previously presented) The method of claim 231 wherein said model for said null hypothesis is:

$$\begin{pmatrix} y_1 \\ y_2 \end{pmatrix} = \begin{pmatrix} \mu_1 \\ \mu_2 \end{pmatrix} + \begin{pmatrix} \beta_1 \\ \beta_2 \end{pmatrix} N + \begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \end{pmatrix}$$

LAW OFFICES OF CHRISTENSEN O'CONNOR JOHNSON KINDNESS\*\*IC 1420 Fifth Avenue Suite 2800 Seattle, Washington 98101 206,682,8100 wherein

N is a categorical random variable indicating the genotype at locus Q across said plurality of organisms;

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 $\mu_i$  and  $\beta_i$  are model parameters.

233. (Original) The method of claim 231 wherein said model for said alternative hypothesis is:

$$\begin{pmatrix} \gamma_1 \\ \gamma_2 \end{pmatrix} = \begin{pmatrix} \mu_1 \\ \mu_2 \end{pmatrix} + \begin{pmatrix} \beta_1 & \beta_2 \\ \beta_3 & \beta_4 \end{pmatrix} \begin{pmatrix} N_1 \\ N_2 \end{pmatrix} + \begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \end{pmatrix}$$

wherein

 $N_1$  and  $N_2$  are categorical random variables indicating the genotype at locus Q across said plurality of organisms;

 $\begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \end{pmatrix}$  is distributed as a bivariate normal random variable with mean  $\begin{pmatrix} 0 \\ 0 \end{pmatrix}$  and covariance matrix  $\begin{pmatrix} \sigma_1^2 & \sigma_1 \sigma_2 \\ \sigma_2 \sigma_1 & \sigma_2^2 \end{pmatrix}$ ; and

 $\mu_i$  and  $\beta_i$  are model parameters.

234. (Original) The method of claim 231 wherein said model for said alternative hypothesis is:

$$\begin{pmatrix} \gamma_1 \\ \gamma_2 \end{pmatrix} = \begin{pmatrix} \mu_1 \\ \mu_2 \end{pmatrix} + \begin{pmatrix} \beta_1 & \beta_2 \\ \beta_3 & \beta_4 \end{pmatrix} \begin{pmatrix} N_1 \\ N_2 \end{pmatrix} + \begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \end{pmatrix}$$

wherein

 $Q_1$  and  $Q_2$  are categorical random variables indicating the genotype at locus Q across said plurality of organisms;

$$\begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \end{pmatrix}$$
 is distributed as a bivariate normal random variable with mean  $\begin{pmatrix} 0 \\ 0 \end{pmatrix}$  and covariance matrix  $\begin{pmatrix} \sigma_1^2 & \sigma_1 \sigma_2 \\ \sigma_2 \sigma_1 & \sigma_2^2 \end{pmatrix}$ ; and

 $\mu_i$  and  $\beta_i$  are model parameters; and one of the conditions (i) through (iv) is valid:

- (i)  $\beta_1 \neq 0, \beta_4 \neq 0, \beta_2 = 0, \text{ and } \beta_3 = 0;$
- (ii)  $\beta_1 \neq 0$ ,  $\beta_4 \neq 0$ ,  $\beta_2 \neq 0$ , and  $\beta_3 = 0$ ;
- (iii)  $\beta_1 \neq 0, \beta_4 \neq 0, \beta_2 = 0, \text{ and } \beta_3 \neq 0, \text{ and}$
- (iv)  $\beta_1 \neq 0, \beta_4 \neq 0, \beta_2 \neq 0, \text{ and } \beta_3 \neq 0$

## 235. (Original) The method of claim 231 wherein said comparing comprises:

obtaining a first maximum likelihood estimate for the model for the null hypothesis by maximizing the loglikelihood for the model for the null hypothesis with respect to model parameters;

obtaining a second maximum likelihood estimate for the model for the alternative hypothesis by maximizing the loglikelihood for the model for the alternative hypothesis with respect to model parameters; and

forming a likelihood ratio test statistic between the first maximum likelihood estimate and said second maximum likelihood estimate to determine whether the model for the alternative hypothesis provides for a statistically significant better fit to the data than the model for the null hypothesis.

## 236-296. (Canceled)

297. (Currently amended) A computer program product for use in conjunction with a computer system, the computer program product comprising a computer readable storage

medium and a computer program mechanism embedded therein, the computer program mechanism for determining whether a first trait T<sub>1</sub> is causal for, reactive to, or independent of, a second trait T<sub>2</sub> in a plurality of organisms of a species, the computer program mechanism comprising:

(A) instructions for identifying a locus Q in the genome of said species that is a site of colocalization for (i) a quantitative trait locus (QTL<sub>1</sub>) that is genetically linked to a variation in the first trait  $T_1$  across all or a portion of the plurality of organisms and (ii) a quantitative trait locus (QTL<sub>2</sub>) that is genetically linked to a variation in the second trait  $T_2$  across all or a portion of said plurality of organisms:

(i) a genetic variation  $Q^*$  of said locus Q across all or a portion of said plurality of organisms and (ii) said variation in said first trait  $T_1$  across all or a portion of said plurality of organisms; and

(C) instructions for quantifying a second coefficient of determination between (i) said genetic variation  $Q^*$  of said locus Q across all or a portion of said plurality of organisms and (ii) said variation in said first trait  $T_1$  across all or a portion of said plurality of organisms organisms, after conditioning on said variation in said second trait  $T_2$  across all or a portion of said plurality of organisms, wherein

said first trait  $T_1$  is deemed to be causal for, and not reactive to or independent of, said second trait  $T_2$  when said first coefficient of determination is other than zero and said second coefficient of determination cannot be distinguished from zero.

298. (Currently amended) A computer system comprising:

a central processing unit;

a memory, coupled to the central processing unit, the memory comprising:

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(A) instructions for identifying a locus Q in the genome of said species that is a site of colocalization for (i) a quantitative trait locus (QTL<sub>1</sub>) that is genetically linked to a variation in the first trait  $T_1$  across all or a portion of the plurality of organisms and (ii) a quantitative trait locus (QTL<sub>2</sub>) that is genetically linked to a variation in the second trait  $T_2$ 

across all or a portion of said plurality of organisms;

(B) instructions for quantifying a first coefficient of determination between

(i) a genetic variation  $Q^*$  of said locus Q across all or a portion of said plurality of organisms and

(ii) said variation in said first trait T<sub>1</sub> across all or a portion of said plurality of organisms; and

(C) instructions for quantifying a second coefficient of determination between

(i) said genetic variation  $Q^*$  of said locus Q across all or a portion of said plurality of organsisms

organisms and (ii) said variation in said first trait T<sub>1</sub> across all or a portion of said plurality of

organsims organisms, after conditioning on said variation in said second trait T2 across all or a

portion of said plurality of organisms, wherein

said first trait T<sub>1</sub> is deemed to be causal for, and not reactive to or independent of, said

second trait T2 when said first coefficient of determination is other than zero and said second

coefficient of determination cannot be distinguished from zero.

299. (Previously presented) The method of claim 108, wherein said second trait T<sub>2</sub> is a

complex trait.

300. (Previously presented) The method of claim 299, wherein said complex trait is

characterized by an allele that exhibits incomplete penetrance in said species.

301. (Previously presented) The method of claim 299, wherein said complex trait is a

disease that is contracted by an organism in said plurality of organisms, and wherein said

organism inherits no predisposing allele to said disease.

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Suite 2800 Scattle, Washington 98101 206.682,8100 302. (Previously presented) The method of claim 299, wherein said complex trait

arises when any of a plurality of different genes in the genome of said species are mutated.

303. (Previously presented) The method of claim 299, wherein said complex trait

requires the simultaneous presence of mutations in a plurality of genes in the genome of said

species.

304. (Currently amended) The method of claim 299, wherein said complex trait is

associated has a correlated occurrence across a population with a high frequency of disease-

causing alleles in said species.

305. (Previously presented) The method of claim 299, wherein said complex trait is a

phenotype that does not exhibit Mendelian recessive or dominant inheritance attributable to a

single gene locus.

306. (Previously presented) The method of claim 299, wherein said complex trait is

asthma, ataxia telangiectasia, bipolar disorder, cancer, common late-onset Alzheimer's disease,

diabetes, heart disease, hereditary early-onset Alzheimer's disease, hereditary nonpolyposis colon

cancer, hypertension, infection, maturity-onset diabetes of the young, mellitus, migraine,

nonalcoholic fatty liver, nonalcoholic steatohepatitis, non-insulin-dependent diabetes mellitus,

obesity, polycystic kidney disease, psoriases, schizophrenia, or xeroderma pigmentosum.

307. (Previously presented) The method of claim 109, wherein said first trait is

abundance levels of a first cellular constituent and each quantitative measurement of said first

trait is an abundance level of said first cellular constituent in an organism in said plurality of

organisms.

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308. (Previously presented) The method of claim 211, wherein said second trait T<sub>2</sub> is a

complex trait.

309. (Previously presented) The method of claim 308, wherein said complex trait is

characterized by an allele that exhibits incomplete penetrance in said species.

310. (Previously presented) The method of claim 308, wherein said complex trait is a

disease that is contracted by an organism in said plurality of organisms, and wherein said

organism inherits no predisposing allele to said disease.

311. (Previously presented) The method of claim 308, wherein said complex trait

arises when any of a plurality of different genes in the genome of said species are mutated.

312. (Previously presented) The method of claim 308, wherein said complex trait

requires the simultaneous presence of mutations in a plurality of genes in the genome of said

species.

313. (Previously presented) The method of claim 308, wherein said complex trait is

associated with a high frequency of disease-causing alleles in said species.

314. (Previously presented) The method of claim 308, wherein said complex trait is a

phenotype that does not exhibit Mendelian recessive or dominant inheritance attributable to a

single gene locus.

315. (Previously presented) The method of claim 308, wherein said complex trait is

asthma, ataxia telangiectasia, bipolar disorder, cancer, common late-onset Alzheimer's disease,

diabetes, heart disease, hereditary early-onset Alzheimer's disease, hereditary nonpolyposis colon

cancer, hypertension, infection, maturity-onset diabetes of the young, mellitus, migraine,

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de, Washington 981 206.682.8100 nonalcoholic fatty liver, nonalcoholic steatohepatitis, non-insulin-dependent diabetes mellitus, obesity, polycystic kidney disease, psoriases, schizophrenia, or xeroderma pigmentosum.

316. (Previously presented) The method of claim 212, wherein said first trait is

abundance levels of a first cellular constituent and each quantitative measurement of said first

trait is an abundance level of said first cellular constituent in an organism in said plurality of

organisms.

317. (Previously presented) The method of claim 108, wherein

the first trait T<sub>1</sub> is an abundance level of a cellular constituent;

each said respective quantitative trait locus (QTL<sub>I</sub>) that is genetically linked to a

variation in the first trait T<sub>1</sub> across the plurality of organisms is a respective abundance

quantitative trait locus (eQTL) that is genetically linked to a variation in abundance levels of the

cellular constituent across the plurality of organisms;

the second trait T<sub>2</sub> is a trait of interest T exhibited by one or more organisms in the

plurality of organisms; and

each said respective quantitative trait locus (QTL<sub>2</sub>) that is genetically linked to a

variation in the second trait T2 is a respective clinical quantitative trait locus (cQTL) that is

genetically linked to a variation in the trait of interest T across the plurality of organisms.

318. (Previously presented) The method of claim 211, wherein

the first trait  $T_1$  is an abundance level of a cellular constituent;

each said respective quantitative trait locus (QTL<sub>1</sub>) that is genetically linked to a

variation in the first trait T<sub>1</sub> across the plurality of organisms is a respective abundance

quantitative trait locus (eQTL) that is genetically linked to a variation in abundance levels of the

cellular constituent across the plurality of organisms:

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1420 Fifth Avenue Suite 2800 Scattle, Washington 98101 206.682.8100 the second trait  $T_2$  is a trait of interest  $\boldsymbol{T}$  exhibited by one or more organisms in the plurality of organisms; and

each said respective quantitative trait locus  $(QTL_2)$  that is genetically linked to a variation in the second trait  $T_2$  is a respective clinical quantitative trait locus (cQTL) that is genetically linked to a variation in the trait of interest T across the plurality of organisms.

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